Florida Jurisprudence



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Disclaimer

- Every attempt has been made to present actual and factual information
- Information presented here is based on opinion, knowledge and experience
- The presenters are not attorneys and one should seek professional legal advice and/or representation for final clarification





- The objectives of this Association are to advance, improve, and enhance the vision care of the public
- To unite optometrists to encourage and assist in the improvement of the art and science of Optometry
- To elevate the standards and ethics of the profession of Optometry

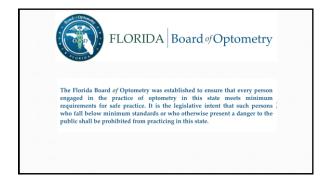


- To protect and defend the inalienable right of every person to freedom of choice of practitioner
- To restrict the practice of Optometry and any part of it to those who have been trained, qualified, and licensed to practice the profession
- To maintain an active affiliation with the AOA, and the Southern Council of Optometrists.





- Mission: To protect, promote & improve the health of all people in Florida through integrated state, county, & community efforts.
- Vision: To be the Healthiest State in the Nation



The Florida Board of Optometry is composed of seven members appointed by the Governor and confirmed by the Senate.

Five members of the board must be licensed practitioners actively practicing in this state.

The remaining two members must be citizens of the state who are not, and have never been, licensed practitioners.

Additionally, the consumer members may not be connected with the practice of optometry or with any other vision-related profession or business.

At least one member of the board must be 60 years of age or older.





Defining Ocular Pharmaceutical Agent "Ocular pharmaceutical agent" means a pharmaceutical agent that is administered topically or orally for the diagnosis or treatment of ocular conditions of the human eye and its appendages ...



Defining Co-Management

- Co-management of postoperative care shall be conducted pursuant to the requirements of this section and a patient-specific transfer of care letter that governs the relationship between the physician who performed the surgery and the
- licensed practitioner
 The patient must be fully informed of, and consent in writing to, the co-management relationship for his or

Defining Co-Management

The transfer of care letter shall confirm that it is not medically necessary for the physician who performed the surgery to provide such postoperative care to the patient and that it is clinically appropriate for the licensed practitioner to provide such postoperative care. The patient must be fully informed of, and consent in writing to, the co-management relationship for his or her care

Defining Co-Management

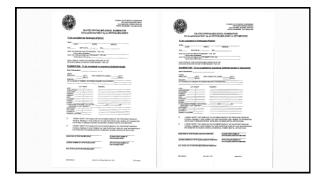
Before co-management of postoperative care commences, the patient shall be informed in writing that he or she has the right to be seen during the entire postoperative period by the physician who performed the surgery

Defining Co-Management

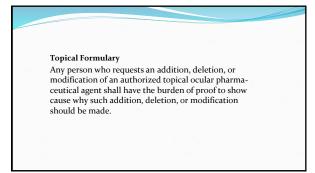
The patient must be informed of the fees, if any, to be charged by the licensed practitioner and the physician performing the surgery, and must be provided with an accurate and comprehensive itemized statement of the specific postoperative care services that the physician performing the surgery and the licensed practitioner render, along with the charge for each service.



- to practice medicine and surgery in this state.
- A certified optometrist is authorized to perform any eye examination, including a dilated examination, required or authorized by chapter 548 or by rules adopted to implement that chapter.
- Boxing
 Kickboxing
 Mixed Martial Arts









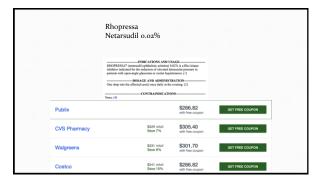


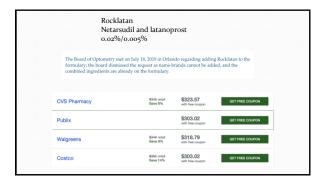
Vyzulta

Lanatoprostene
bunod o, o.24%

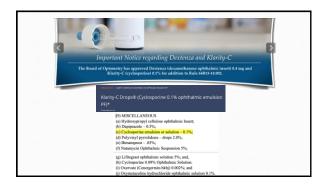
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WIDL



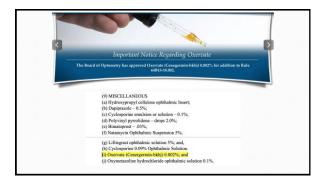






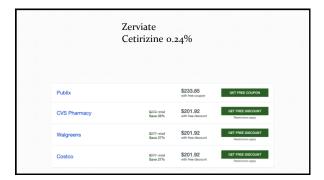


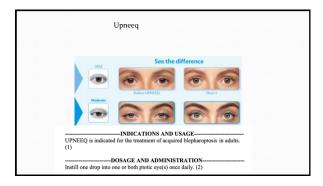






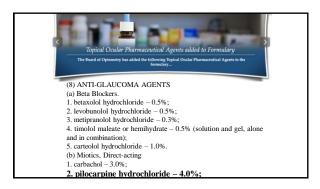


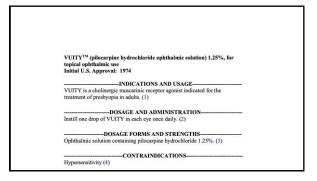


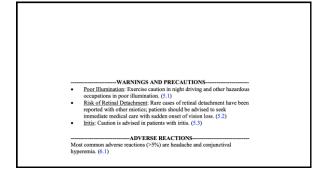


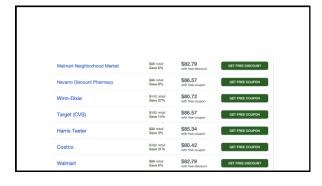


Vuity Pilocarpine 0.125%

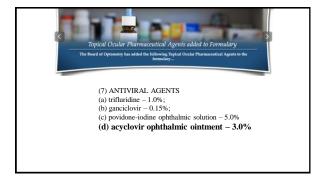














Avaclyr



Fera Pharmaceuticals Announces FDA Approval of Avaclyr (acyclovir ophthalmic ointment)

NEW YORK—April 1, 2019—The US Food and Drug Administration (FDA) has approved Fera's New Drug Application (NDA) for Avaclyr_{tot}(acyclovir ophthalmic ointment) 3% for the treatment of herpetic keratitis. Orphan drug exclusivity was also granted, providing seven years of marketing exclusivity for the product.



- (c) cyclosporine emulsion or solution 0.1%;
- (d) polyvinyl pyrrolidone solution 2.0%;
- (e) bimatoprost 0.03%;
- (f) natamycin ophthalmic suspension 5.0%; (g) lifitegrast ophthalmic solution 5.0%; and,
- (h) cenergermin-bkbj 0.002%;
- (i) oxymetazoline hydrochloride ophthalmic solution 0.1%; (j) hypochlorous acid -0.02%



The topical ocular pharmaceutical agents in the formulary include the following legend drugs alone or in combination in concentrations up to those specified, or any lesser concentration: (1) through (8) No change.

- (9) MISCELLANEOUS (a) through (j) No change.
- (k) varenicline solution 0.03mg



Varenicline nasal spray approved as a treatment for dry eye disease

The FDA's approval of varenicline nasal spray 0.03 mg (Tyrvaya, Oyster Point Pharma) for the treatment of the signs and symptoms of dry eye disease (DED) brings to the management of this common condition a new therapeutic modality that is novel for both its mechanism of action and mode of administration. The new medication is recommended to be used twice daily, sprayed once into each nostril.

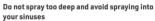
TYRVAYA (varenicline solution) nasal spray Initial U.S. Approval: 2006

--INDICATIONS AND USAGE----

TYRVAYA (varenicline solution) nasal spray is a cholinergic agonist indicated for the treatment of the signs and symptoms of dry eye disease.

--DOSAGE AND ADMINISTRATION--

- · One spray in each nostril twice daily (approximately 12 hours
- apart).
 Prime with seven (7) actuations before initial use. Re-prime with 1 actuation if not used for more than five (5) days.
- ----DOSAGE FORMS AND STRENGTHS-
- •Nasal spray delivering 0.03 mg of varenicline in each spray (0.05



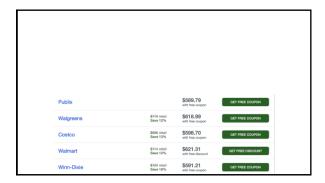
Insert the tip of the nasal spray just past the nasal opening. Aim the tip out towards your ear on the same side of the nostril you're spraying into.

Ensure proper tongue placement

Press your tongue to the roof of your mouth.

Avoid inhaling deeply

Breathe gently as you press and release the applicator, just misting the inside of your nostril. The medication will absorb into the wall of the nose where the nerve is located.



Gonak Hypromellose Ophthalmic Demulcent Solution 2.5%,

- (3) DIAGNOSTIC PRODUCTS
- (a) fluorescein paper strips 9 mg per strip; (b) Lissamine Green 1.5 mg per strip;
- (c) Rose Bengal 1.3 mg per strip;

- (d) phenol red thread; (e) Schirmer test strips; (f) hypromellose ophthalmic demulcent solution 2.5%.

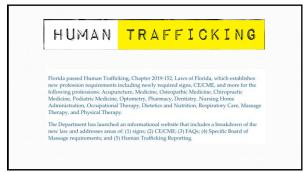


- (a) tetracaine 0.5%;
- (b) proparacaine hydrochloride 0.5%; (c) benoxinate HCl 0.4% (in combination with fluorescein); (d) lidocaine hydrochloride ophthalmic gel or
- solution 4.0%.









Each healthcare provider licensed by one of the named Boards must complete a one hour continuing education (CE) course on human trafficking that has been specifically approved by their Board for this purpose. The course must be completed by January 1, 2021 and will count towards the required CE for renewal. The bill does not require that this course be taken again for future renewal cycles. The links below will direct you to courses that meet this requirement as soon as they are approved by the applicable Board.

* To find an appropriate course, please visit:
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SIGNS

Each healthcare provider licensed by one of the named Boards must post a sign regarding human trafficking in a conspicuous place accessible to employees by January 1, 2021. The sign must be at least 11 x 15 inches and in at least 32-point type. The sign must contain statutorily required language and be posted in English and Spanish. The Department has also provided Wandarin translations of these signs for contain size where these languages are spoken. The line below contains that meet the statutory requirements when printed at the listed size.

Human Trafficking (English/Spanish/Mandarin)

Human Trafficking (English/Spanish/Mendarin)



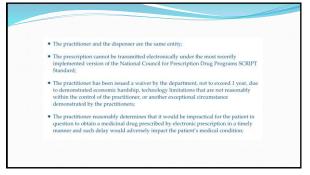
Children and adults can be victims of human trafficking.
"If you see something, say something" If you have information regarding suspected Human Trafficking of a child in Florida contact: Florida Abuse Hotline

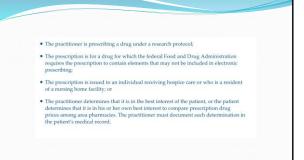
1-800-96-ABUSE (1-800-962-2873). If you have information regarding suspected Human Trafficking of an adult anywhere in the United States or of a child outside of Florida please contact: National Human

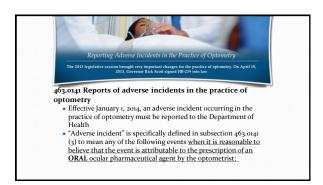
Trafficking Resource Center 1-888-373-7888. Text

HELP to 233733 (BEFREE): Toget help for victims and surviviors of human trafficking or to connect with local services. Visit the National Human Trafficking Hotline online at: https://humantraffickinghotline.org.









463.0141 Reports of adverse incidents in the practice of optometry

- · Any condition that requires transfer of the patient to a licensed hospital;
- Any condition that requires the patient to obtain care from a medical doctor or osteopathic doctor, other than a referral or a consultation required by Chapter 463;
- Permanent physical injury to the patient;Partial or complete permanent loss of sight by the patient; or
- Death of the patient.

463.0141 Reports of adverse incidents in the practice of optometry • If an "adverse incident" defined in subsection 463.0141 (3)

- occurs, the optometrist is required to provide written notice to the Florida Department of Health by certified mail.

 If the incident takes place while the patient is in the
- optometrist's office, the notice must be postmarked within 15 days after occurrence.

 If the incident occurs when the patient is not at the
- optometrist's office, the notification must be postmarked within 15 days after the optometrist discovers, or reasonably should have discovered, the occurrence of the adverse incident

Antibiotics

- The following antibiotics or their generic or therapeutic equivalents:
- Amoxicillin with or without clavulanic acid.
 Azithromycin.

- Erythromycin.
 Dicloxacillin.
 Doxycycline/Tetracycline.
 Keflex
- Minocycline

Antiviral

- The following antivirals or their generic or therapeutic equivalents:

 - Acyclovir
 Famciclovir
 - Valacyclovir

Anti-Glaucoma

- The following oral anti-glaucoma agents or their generic or therapeutic equivalents, which may not be administered or prescribed for more than 72 hours:
- Acetazolamide
- Methazolamide

463.014 Certain acts prohibited

 (3) Prescribing, ordering, dispensing, administering, supplying, selling, or giving any drug for the purpose of treating a systemic disease by a licensed practitioner is prohibited. *However, a certified optometrist is* permitted to use commonly accepted means or methods to immediately address incidents of anaphylaxis.

EpiPEN® for Anaphylaxis • EpiPen[®] 0.3 mg • Yellow label - 66 lbs or more • EpiPen* Jr.o.15 mg • Green label - 33-66 lbs.



Controlled Substances

• Florida Statutes, provides that a written prescription for a controlled substance listed in chapter 893 must be either written on a standardized counterfeit-proof prescription pad produced by a vendor approved by the Florida Department of Health (DOH) or electronically prescribed

Controlled Substances

• Section 893.04 provides that a pharmacy may dispense a prescribed controlled substance only if the full name and address of the prescribing practitioner and the practitioner's DEA registration number is printed thereon.

Controlled Substances

- DEA Numbers
 - Applications submitted at
 - http://www.deadiversion.usdoj.gov/drugreg/
- \$731 every 3 years
 2 Controlled Substances Schedule 3
- A Certified optometrist licensed under chapter 463 may not administer or prescribe a controlled substance listed in Schedule I or Schedule I of s. 89,303.

 Tylenol w/Codeine Acetaminophen 325 mg with No. 3

codeine phosphate 30 mg. Tramadol hydrochloride

Controlled Substances

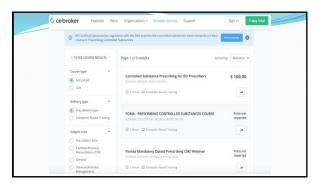
Controlled Substances Bill CS/CS/HB-21

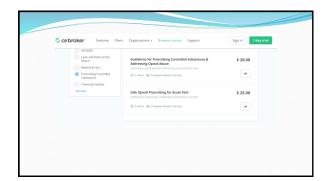
Signed By Governor Scott March 19, 2018 Effective July 1, 2018

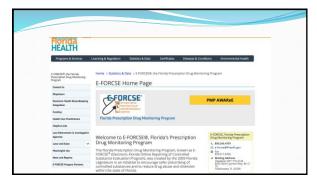
The law addresses opioid abuse by establishing prescribing limits, requiring continuing education on controlled substance prescribing, expanding required use of Florida's Prescription Drug Monitoring Program, EFORCSE, and more.











New Education Requirement Effective:
10/2/18
Rule 64B13-5.001
(in effect for current biennium)

(5) As part of the thirty (30) clock hours, licensed practitioners shall be permitted to obtain two (2) hours in the area of practice management. No more than two (2) hours of continuing education in the area of practice management may be applied to the thirty (30) clock hour requirement in subsection (1). These hours may be obtained through live, in-person or online/distance learning courses

[7) As part of the thirty (30) clock hours, licensed practitioners are required to complete a two (2) -hour course relating to prevention of medical errors, as part of the renewal process. The course shall be approved by the Board and shall include a study of root-cause analysis, error reduction and prevention, and patient safety. If the course is being offered by a facility licensed pursuant to chapter 39; E.S., for its employees, the Board approves one (1) hour of the two (2) -hour course to be specifically related to error reduction and prevention methods used in that facility. No more than two (3) hours of continuing education relating to the prevention of medical errors may be applied to the thirty (30) clock hour requirement in subsection (1).

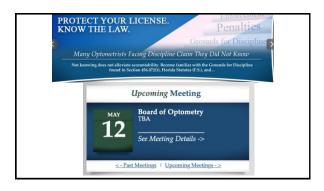
[8] As required by section 456 63901(16), ES, as part of the thirty (30) clock hours, all certified optometrists registered with the United States Drug Enforcement Administration (DEA) shall complete a two (3) hour course on prescribing controlled substances, All such certified optometrists registered with the DEA must complete the course no later than January 31, 2019, and during each subsequent licensure renewal biennium. The course may be completed the rither a live or online/distance learning from at











463.0135 Standards of practice • A licensed practitioner shall provide that degree of care which conforms to that level of care provided by medical practitioners in the same or similar communities. A licensed practitioner shall advise or assist her or his patient in obtaining further care when the service of another health care practitioner is required

- 64B13-2.008 Probable Cause Panel.
- 0 (1) The determination as to whether probable cause exists to believe that a violation of the provisions of Chapter 456, Part II, or 463, F.S., or of the rules promulgated thereunder, has occurred shall be made by the probable cause panel of the Board.
 (2) The probable cause panel shall be composed of at least two (2) present or former members
- of the Board of Optometry. At least one member of the panel must be a current Board member. At least one member shall be a present or former lay member, if available, willing to serve, and authorized by the Chair.

456

In determining what action is appropriate, the board, or department when there is no board, must first consider what sanctions are necessary to protect the public or to compensate the patient. Only after those sanctions have been imposed may the disciplining authority consider and include in the order requirements designed to rehabilitate the practitioner. All costs associated with compliance with orders issued under this subsection are the obligation of the practitioner.

What does this mean to you?

- When in doubt, give the money back to the patient (within reason).
 Leading complaint to Board: failure to refund money for glasses
- Could then lead to investigation into file
- · Take care Board doesn't overstep authority
- If a grievance is filed, you must defend yourself, preferably with the assistance of an attorney.
- Malpractice insurance typically does not cover this. You must bear the costs personally. Check with carrier now

Minimum Equipment

- The following shall constitute the minimum equipment which a licensed practitioner must possess in each office in which he or she engages in the practice of optometry:
- (1) Ophthalmoscope;
- (2) Tonometer;
- (3) Retinoscope;
- (4) Ophthalmometer, keratometer or corneal topographer;

Minimum Equipment

- (5) Biomicroscope;
- (6) Phoropter or trial frame, trial lenses and prisms;
- (7) Standard charts or other standard visual acuity test;
- (8) Field testing equipment (other than that used for a confrontation test).

Note: Pachymeter, fundus camera, OCT, etc., not part of the minimum

Minimum Exam

- 64B13-3.007 Minimum Procedures for Vision Analysis (comprehensive eye
- (1) Vision analysis is defined as a comprehensive assessment of the patient's visual status and shall include those procedures specified in subsection (2) below.
- (2) An examination for vision analysis shall include the following minimum
- (a) Patient's history (personal and family medical history, personal and family ocular history, and chief complaint);

Minimum Exam

- (b) Visual acuity (unaided and with present correction at initial presentation; thereafter, unaided or with present correction);
- (c) External examination;
- (d) Pupillary examination;
- (e) Visual field testing (confrontation or other);
- (f) Internal examination (direct or indirect ophthalmoscopy recording cup disc ratio, blood vessel status and any abnormalities);

Minimum Exam

- (g) Biomicroscopy (binocular or monocular);
- (h) Tonometry;
- (i) Refraction (with recorded visual acuity);
- (j) Extra ocular muscle balance assessment;

Not necessarily in this order

Minimum Exam

- (k) Other tests and procedures that may be indicated by case history or objective signs and symptoms discovered during the eye examination; (l) Diagnosis and treatment plan.
- (3) If because of the patient's age or physical limitations, one or more of the procedures specified herein or any part thereof, cannot be performed, or if the procedures or any part thereof are to be performed by reason of exemption from this rule, the reason or exemption shall be noted on the patient's case record.

Minimum Exam

• Except as otherwise provided in this rule, the minimum procedures set forth in subsection (2) above shall be performed prior to providing optometric care during a patient's initial presentation, and thereafter at such appropriate intervals as shall be determined by the optometrist's sound professional judgment. Provided, however, that each optometric patient shall receive a complete vision analysis prior to the provision of further optometric care if the last complete vision analysis was performed more than two years before.

So what does this mean to you?

- Subjective:
- personal and family medical history, personal and family ocular history, and chief complaint
- Objective:
- VA (with and without at initial; with afterwards); pupils, EOMs, screening fields (10 Fingers of Death), ocular balance (Cover test), refraction, SLE, tonometry (some method), fundus (dilation at first-disc, vessels, abnormalities), any and all others as dictated by exam
- Assessment- detailed
- Plan-detailed

Standards of Practice

- (7)(a) To be in compliance with paragraph 6aBi₃*2,007(a)(f), F.A.C., certified optometrists shall perform a dilated fundus examination, during the patient's initial presentation, and thereafter, whenever medically indicated. If, in the certified optometrists sound professional judgment, dilation is not performed because of the patient's age, physical limitations, or conditions, the reason(s) shall be noted in the patient's medical record.

 (b) Licensed optometrists who determine that a dilated fundus examination is medically recisessary and shall referred indicated shall advise the patient that such examination is medically necessary and shall referred the state of the patient's medical processary and shall referred the patient's medically recessary and shall referred the patient's medical processary and shall be patient's medical processary and shall processary and shall processary and shall processary and shall

What about non-Comprehensive exams?

- Whenever a patient presents to a licensed practitioner or certified optometrist with any of the following as the primary complaint, the performance of the minimum procedures set forth in subsection (2) above shall not be required.
- (a) Emergencies;
- (b) Trauma;
- (c) Infectious disease;
- (d) Allergies;
- (e) Toxicities; or
- (f) Inflammations.

- The minimum procedures set forth in subsection (2) above shall not be required in the following circumstances:
- (a) When a licensed practitioner or certified optometrist is providing specific optometric services on a secondary or tertiary basis in patient comanagement with one or more health care practitioners skilled in the diagnosis and treatment of diseases of the human eye and licensed pursuant to Chapter 458, 459, or 463, Florida Statutes

So what does this mean to you?

- If you can't do a required test, state the reason and the attempt.
- · Reason for this statute is to protect and provide to public quality care
 - · Discourages 'refraction mills'
 - "There is no reason that you cannot do an eye exam in less than 5 minutes"

Branch License

- 2014- you no longer need to apply for branch licenses for each office
- You must however have a copy of your Florida license displayed in each

Drug Dispensing- For Profit

- · A certified optometrist who dispenses medicinal drugs for a fee must register as a dispensing practitioner with the Florida Board of Optometry and pay a fee of \$100.00 at the time of registration and upon each biennial renewal of licensure.
- Subject to and must comply with all laws and rules applicable to pharmacists and pharmacies
- Department of Health is authorized to inspect in the same manner and same frequency as it inspects pharmacies

Drug Dispensing-Samples

- Not required to register as a dispensing practitioner
- Must dispense the medicinal drugs in the manufacturer's labeled package with the practitioner's name, patient's name, and date dispensed.
- off not dispensed in the manufacturer's labeled package, they must bear the following information:

 •Practitioner's name;
- ·Patient's name
- •Date dispensed; •Name and strength of drug; and
- •Directions for use

What can get you sued for malpractice and what can get you sanctioned by the Board of Optometry are often two different things The Board of Optometry does not involve itself in malpractice suits. Getting sued for malpractice does not get reported to the Board. The patient or other entity must file a separate grievance with the Board.

Bad Outcome vs Malpractice

- Florida OD
- 6o YOBF
- Routine exam
- IOP: Upper 40's OU
- Glaucoma suspect
- Begins topical treatment
- Manages for 2 years
- IOP low to mid 20's

Bad Outcome vs Malpractice

- Seeks care from ophthalmologist
- On multiple meds
- IOP mid 20's
- Meds changed
- IOP low 20's
- Undergoes ALTP, then trabeculectomy OU
- Sues optometrist
- Retained by patient's attorney

Bad Outcome vs Malpractice

- Allegations:
- Detected elevated IOP and <u>only</u> used topical medications
- Diagnosed glaucoma, but failed to warn of serious nature
- Failed to diagnose optic nerve injury
- · Failed to properly treat optic nerve injury
- Failed to refer to ophthalmologist

Bad Outcome vs Malpractice

- File
- Medications obviously added, notations unclear
- No C/D ratio recorded for 1 1/2 yrs
- Dilated exam performed, nothing recorded
- No gonio recorded
- No fields
- Frame style, bifocal style, seg height, PD, temple length, A/R coating, tint, all charges recorded
- Is this malpractice? Are allegations accurate?

Failure to Warn

- Consequences of contact lens use
- Infectious Keratitis, overwear
- Consequences of spectacle wear
- Breakage, polycarbonate, safety lenses
- Consequences of steroid use
- Glaucoma, cataracts, superinfection

HB 1175; Chapter 2016-234

• Starting July 1, 2016, health care practitioners are required to provide a good faith estimate of anticipated charges to treat a condition if asked by the patient. The estimate must be provided to the patient or their proxy within 7 business days after receiving the request, however the practitioner is not required to adjust the estimate for any potential insurance coverage. Patients must contact their health insurer or health maintenance organization for any information relating to cost-sharing responsibilities.

HB 1175; Chapter 2016-234

 While the estimate does not preclude actual charges from exceeding the estimate, failure to provide it within the required time without good cause will result in discipline against the practitioner. This includes a daily fine of \$500 until the estimate is provided to the patient. Total fines may not exceed \$5,000.

463.009 **Supportive Personnel**

• No person other than a licensed practitioner may engage in the practice of optometry as defined in s. 465,002(7). Except as provided in this section, under no circumstances shall nonlicensed supportive personnel be delegated diagnosis or treatment duties; however, such personnel may perform data gathering, preliminary testing, prescribed visual therapy, and related duties under the direct supervision of the licensed practitioner. Nonlicensed personnel, who need not be employees of the licensed practitioner, may perform ministerial duties, tasks, and functions assigned to them by and performed under the general supervision of a licensed practitioner, including obtaining information from consumers for the purpose of making appointments for the licensed practitioner. The purpose of making appointments for the licensed practitioner. The licensed practitioner shall be responsible for all delegated acts performed by persons under her or his direct and general supervision.

What happens when you get in trouble with the Board?

Case: Running afoul of a crazy person

- Visit 1: Older female presents for CEE checks off on a questionnaire that she has cataracts, floaters, and dry eyes
- does not check off or otherwise indicate eye pain, vision blur, vision loss or other symptoms
- Pt 'friends' with OD's parents- feels entitled to 'special treatment'
- · No waiting room or copays for her!
- · OD flustered by pt 'barking' at her
- Performs IOP- normal, but not recorded



Case: Running afoul of a crazy person

 Successful dilation and stereoscopic evaluation of the optic nerves was performed and recorded as normal without suspicion of glaucoma. The patient was correctable to 20/20 in each eye following a thorough examination.

Case: Running afoul of a crazy person

- Pt returns 1 year for annual exam
- The patient does not complain of ocular pain or vision
- · Intraocular pressure by applanation is normal at this visit.
- A dilated fundus examination is successfully performed without precipitating an angle closure attack. There is no evidence of abnormality other than advancing ageappropriate cataracts

Case: Running afoul of a crazy person

- PT RTC 1 mos later complaining of blurred vision that had occurred 2 days previously, but had since resolved.
- The patient appears to have mentioned elevated blood pressure at this time.
- The anterior chamber was judged to be deep and quiet and the patient was successfully dilated again without precipitating an angle closure attack. No signs consistent with glaucoma were found upon examination.

Case: Running afoul of a crazy person

- Dr. diagnosed ocular surface abnormalities as a possible cause of the patient's transiently blurred vision and recommended lubrication as well as a referral to a primary care evaluation for a hypertension evaluation.
- PCP orders MRI to determine the cause of the patient's transiently obscured vision
- MRI normal

Case: Running afoul of a crazy person

- o mos later, pt visits ophthalmologist who diagnoses 'narrow angle glaucoma'.
- MD examination details normal optic discs, normal retinal nerve fiber layer, and a normal GDx evaluation. Threshold perimetry done on this date also normal
- Likely MD was using the antiquated term, "narrow angle glaucoma" to connote a potentially occludable angle.
 Intraocular pressure at that visit was not in keeping with true
 - angle closure.

Case: Running afoul of a crazy person

- Gonioscopy indicated potentially occludable angles and MD appropriately recommended laser iridotomy
- Successful
- Interval of 10 months between the examinations
 - cataractogenesis process during this interval could easily increase pupil block and initiate narrowing of the anterior chamber angle, which may have not been present and observable to optometrist at the time of her last examination.

Case: Running afoul of a crazy person

- Pt quite agitated with optometrist for not 'diagnosing her glaucoma'
 - After all, pt needed surgery! Prophylactic LPI
- · Claims negligence against OD
- Pain and suffering and mental anguish
- Her life is 'ruined'
- Negligent care
- Misdiagnosis leads to vision loss
- · Nothing documentable

- Case: Running afoul of a crazy person
 Pt claims she has sought counsel of several lawyers but doesn't want to go that way
- Pt send threatening letter to OD demanding refund of all fees, copays, and remuneration for 'pain and 'suffering' or she will 'avail herself of all legal means'
- Gives actual dollar amount for compensation Translation:
- OD seeks counsel.
- Pt vindictively* reports OD to Board
 * Personal editorial



Case: Running afoul of a crazy person

- Pt dilated twice- Stereoscopic disc analysis, BIO
- Pt treated appropriately for OSD, refractive error
- Pt referred for evaluation and diagnosed with HTN and treated
- Sole issue: during 1 exam, under duress, OD did not record
 - OD admission- knew IOP could have been added and none of this would have happened, but knew it wasn't right thing
 - Did perform dilation and BIO and disc analysis at visit

Case: Running afoul of a crazy person

- Charge: Violation of Chapter 463.005 Rule 64B13-3.007 Minimum Procedures for Vision Analysis
 - Did not perform tonometry and 'specific glaucoma test'
- Board retains expert
- OD and attorney retain me as expert
- · Nothing adversarial- just trying to protect and ensure right prevails

The Facts as I See Them

- Tonometry is not, in fact, a "glaucoma test" or "specific glaucoma test", but merely the measurement of IOP
- · Elevated intraocular pressure is a risk factor for glaucoma, but not in itself a diagnosis of glaucoma.
- Tonometry is not even an accepted screening test for glaucoma
 Tonometry is not specific enough a test to screen for glaucoma as many patients with the disease can be mis-labeled as normal
- Detailed stereoscopic evaluation of the optic disc is a more sensitive measurement for the determination of glaucoma
- Ergo, the OD <u>did</u> do a 'specific glaucoma test'

The Facts as I See Them

- No permanent damage sustained by the patient.
- No evidence that any of the patient's complaints were attributable to intermittent angle closure.
- The patient was determined to merely have potentially occludable angles.
- · The patient successfully underwent laser iridotomy, which has presumably reduced the risk of future occlusion.

The Facts as I See Them

- The same procedure would have been necessary had the potentially occludable state been diagnosed by any other qualified doctor at any time
- Thus, the patient has received the proper treatment.
- There is nothing in any records reviewed that indicate the actions or alleged inactions of optometrist negatively impacted the apparently positive outcome for this patient.

The Facts as I See Them

- OD delivered excellent care in face of adversity
- OD was professional in not altering record
- OD sought legal counsel

Final Outcome

• Case dismissed for no probable cause

Case: Alleged Negligence

- Lawn/ tree service worker presents with corneal abrasion
 No hx of vegetative matter given
 - 3 days of FB sensation; no complaints of vision loss
- Geographic abrasion and edema without infiltration
 Treated with Maxitrol and bandage CL-f/u 2 days
 RTC immediately if any changes
- Pt returns 2 days later with severe central corneal infiltration
- OD recognizes possibility of fungal infection- tries to refer immediately

Case: Alleged Negligence

- Pt wants to 'wait to see if it gets better'
- Workers comp- referral authorization will take 'at least a week'
- OD adamant- explains fungal infection and permanent vision loss
- Pt ultimately referred and seen next day and treated for bacterial keratitis despite OD note about fungus
- After 7-10 days of not improving, pt referred elsewhere and dx'ed with fungal keratitis

Case: Alleged Negligence

- Pt initiates litigation against OD
- Referral center recognized issue and offered compensation in advance of litigation, so was not sued
- Pt leaves country, not participating in legal process- case dies
- Pt's attorney vindictively* reports OD to DOH for license sanctions

*personal editorial

Case: Alleged Negligence

- - OD violated Chapter 463.0135(1) by failing to provide the degree of medical care provided by similarly trained medical practitioners in the same or similar communities
 - Treated corneal abrasion with antibiotic-steroid combination
 - Use of antibiotics alone is standard of care
 Using steroid for vegetative corneal injury

 - · Failed to timely refer fungal keratitis

The Facts as I See Them

- No hx of vegetative injury ever given by pt to anyone
 DOH broad speculation based upon employment and final diagnosis
- Steroid-antibiotic combo reasonable for corneal abrasion
- No indication of fungal keratitis at first visit
- Prophylactic natamycin? Refer abrasion to corneal specialist? What more could OD do?
- · OD was first to consider fungus, but nobody listened
- What would have happened if OD used standard of care treatment with topical antibiotics alone?

Final Outcome

• Case dismissed for no probable cause

"there is no bad referral?"

- OD sees patient with progressive vision loss after solar eclipse
- 20/50 vision OS
- Pt told had to see ophthalmologist STAT due to potential for blindness for "large cups in nerve • 0.7/0.7 C/D OU
- On call ophthalmologist for ER reports OD for 'patient dumping'.

Another RD Case

- Pt c/o floaters
- Examined by OD who dilates, performs BIO, finds retina intact, warns Si/Sx RD; RTC ASAP any changes
- Pt experiences vision reduction on a Thursday, somewhat worse on Fridaywants to see if it will 'clear up'
- Comes in Monday with macula off RD
- Expert witness: "He didn't look well enough"
- · Attorney invokes following statute:

- (4) A licensed practitioner shall promptly advise a patient to seek evaluation by a physician skilled in diseases of the eye and licensed under chapter 458 or chapter 459 for diagnosis and possible treatment whenever the licensed practitioner is informed by the patient of the sudden onset of spots or "floaters" with loss of all or part of the visual field.
- Defense attorney flustered by rule
 - Retained to defend OD

Why is this so?

- Do I have to refer every case of flashes and floaters?
- Difference between licensed practitioner (who cannot dilate) and certified practitioner (who can dilate).
- These patients need dilation- licensed practitioner can't and certified can. If RD found- pt logically referred
- If nothing seen but pt has vision loss- pt logically referred
- Why no statute regarding older patient with headache and jaw claudication,

Responsibility

A licensed practitioner shall have an established procedure appropriate for the provision of eye care to his/her patients in the event of an emergency outside of normal professional hours, and when the licensed practitioner is not personally available. Since the licensed practitioner's continuing responsibility to the patient is of a personal professional nature, no licensed practitioner shall primarily rely upon a hospital emergency room as a means of discharging this responsibility.

So what does this mean to you?

- Unlike every other medical provider, your answering machine cannot say, "If this is a medical emergency, hang up and dial gu"
- · You must have an on-call system after hours; The system cannot direct patients to the ER.
- · Options: your cell phone #, professional answering service with your cell phone #; a colleague or practice/ institution who will accept your emergencies
- Note: you have no obligation to provide after hours emergency care to any person who is NOT your patient
 - Caveat: neither does your ophthalmology colleagues

• (3) When an infectious corneal disease condition has not responded to standard methods of treatment within the scope of optometric practice, the certified optometrist shall consult with a physician skilled in diseases of the eye and licensed under chapter 458 or chapter 459.

So what does this mean to you?

- Duh!
- · Do we really have to explain it?

64B13-3.010 Standards of Practice.

(2) An optometrist shall not use or perform any technique, function, or mode of treatment which the optometrist is not professionally competent to perform. Professional competence as used in this rule may be acquired by formal education, supervised training and experience, continuing education programs which have been approved by the Board, or an appropriate combination of such means.

So what does this mean to you?



64B13-3.010 Standards of Practice.

- (4) Certified optometrists employing the topical ocular pharmaceuticals listed in subsection 6_4B_{13} -18.002(9), F.A.C., Anti-Glaucoma Agents, shall comply with the following:
- (a) Upon initial diagnosis of glaucoma of a type other than those specifically listed in Section 463.035(3), F.S., the certified optometrist shall develop a plan of treatment and management.
- The plan will be predicated upon the severity of the existing optic nerve damage, the intraocular pressure, and stability of the clinical course.
 In the event the certified optometrist cannot otherwise comply with the requirements of subsections 64B13-300(1)-(3), F.A.C., a co-management plan shall be established with a physician skilled in the diseases of the human eye and licensed under Chapter 486 or 439, F.S.

So what does this mean to you?

- Not much different than what you are already doing.
- If you diagnose glaucoma, make a treatment plan
- If glaucoma is bad, make it an aggressive plan.
- If you can't, send it to someone who can

Standards of Practice

(b) Because topical beta-blockers have potential systemic side effects a certified optometrist employing beta-blockers shall, in a manner consistent with Section 463.035(1), F.S., ascertain the risk of systemic side effects through either a case history that complies with paragraph chapts; a constraint of the patients primary care physician. The certified optometrist shall also communicate with the patient's primary care optometrist, shall also communicate with the patient's primary care optometrist, it is medically appropriate to do so. This communication shall be noted in the patient's permanent record. The methodology of communication is left to the professional discretion of the certified optometrist.

So what does this mean to you?

- When in doubt...ask
- You are not obligated to tell the PCP that you have prescribed a beta blocker... but it is good care and a courtesy
- Easy way- write the Rx and tell the patient to show to PCP before filling.

Standards of (Glaucoma) Practice

- (c) The certified optometrist shall have available, and be proficient in the use of, the following instrumentation:
- Goldman-type applanation tonometer.
 Visual fields instrumentation capable of threshold perimetry.
- Gonioscope.
 Fundus Camera or detailed sketch of optic nerve head.
- Biomicroscope.
 A device to provide <u>stereoscopic view of optic nerve</u>.

Hmmm... still no pachymeter, camera, or OCT

 (g) A licensed practitioner who believes a patient may have glaucoma shall promptly advise the patient of the serious nature of glaucoma. The licensed practitioner shall place in the patient's permanent record that the practitioner provided such advice to the patient.

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Standards of Practice

 (2) A licensed practitioner diagnosing angle closure, infantile, or congenital forms of glaucoma shall refer the patient to a physician skilled in diseases of the eye and licensed under chapter 458 or chapter 459.

Why is this so?

- Acute angle closure, infantile, and congenital forms of glaucoma are primarily surgical diseases.
- Forces non-surgeons from "Forrest Gumping their way through" medically

 (4) A licensed practitioner shall promptly advise a patient to seek evaluation by a physician skilled in diseases of the eye and licensed under chapter 458 or chapter 459 for diagnosis and possible treatment whenever the licensed practitioner is informed by the patient of the sudden onset of spots or "floaters" with loss of all or part of the visual field.

